

FISCAL EVALUATION REPORT- (PAYROLL)

The Fiscal Evaluation on payroll for period from April 2018 to March 2019 was conducted on Chinese American on the dates of July to October 2020 in review of fiscal compliance in following areas:

1. Payroll	
A) Minimum Wage	<u>100</u> %
B) Correct Paid	<u>100</u> %
C) Overtime Pay	<u>100</u> %
D) Sick Leave & Vacation	<u>100</u> %
E) Holidays	<u>100</u> %
F) Non-Work Pay	<u>-</u> %
G) Transportation Reimbursement	<u>-</u> %
2. Payroll Taxes	
A) City & State Taxes	<u>100</u> %
B) Federal Tax	<u>100</u> %
3. Unemployment/Disability Insurance	<u>100</u> %
4. Worker Compensation	<u>-</u> %

The Fiscal Evaluation Report will contain specific findings responding to all comments and documentations offered by the agency.

Comments or particular issues acknowledged to be resolved are: N/A

Comments by the Fiscal Manager to provide information are: N/A

I accept the results of this evaluation and waive my right to comment within five working days.

I do not accept the results of this evaluation and exercise my right to comment within five working days.

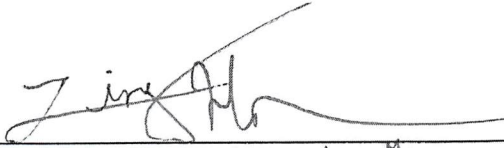
It is understood that the findings and recommendations of the Fiscal Manager are subject to review by supervisory personnel prior to the preparation of the Fiscal Evaluation Report. Any changes in compliance scores or findings resulting from the review and follow-up audit performed by the Project Manager will be communicated to the Program Director together with the factual basis for these changes. The Program Director will be given five working days to comment and offer new information on any of these changes. The five days period, if requested, will begin when the changes are communicated to the Program Director.

The signature below indicates that the Program Director acknowledge receipt of the Fiscal Evaluation Report.

X

Fiscal Manager Name :
Title:

X



Vendor Representative Name : Ling Ma
Title: Program Director/CPO

CDPAP EXIT CONFERENCE REPORT

Fiscal Year 2019 Round II

Name of Vendor: CHINESE AMER. PLAN.

Vendor Code: 927

Audit Period: 04/01/2018 — 03/31/2019

The field evaluation visit was conducted on the dates of October 21, 2020 to review programmatic performance in the areas of:

1. Annual Medical Examination

- a) Exam Given 100%
- b) Drug Abuse Test Given 100%
- c) Follow-up Atypical Medical Results N/A%
- d) Follow-up Atypical Drug Results N/A%

2. Initial Medical Examination

- a) Exam Given 100%
- b) Drug Abuse Test Given 100%
- c) Follow-up Atypical Medical Results N/A%
- d) Follow-up Atypical Drug Results N/A%

3. Local Law 73

A (A/T)

An exit conference was held today, Oct. 21, 2020 and the following staff were present:

1. Ling Ma, Director
2. Erik Chen, ADFO
3. Karina Lee, DPS

The findings of the Contract Manager were presented and the agency was afforded an opportunity to respond to the findings. Specific names and dates where non-compliance was identified were provided if requested.

The Field Visit Report will contain specific findings responding to all comments and documentation offered by the agency.

The Field Visit Report will contain specific findings responding to all comments and documentation offered by the agency.

Comments or particular issues acknowledged as still to be resolved are:

Comments by the Contract Manager to provide information are:

It is understood that the findings and recommendations of the Contract Manager are subject to review by supervisory personnel prior to the preparation of the Field Visit Report. Any changes in compliance scores or findings resulting from the review will be communicated to the Program Director together with the factual basis for these changes. If you do not agree with the findings at the Exit Conference, you will have five (5) business days to submit additional information. The five (5) business day period will begin once requested by the Program Director in writing.

The signature below indicates that an exit conference took place in the manner described above.

Jeremy B. Kupupika
Contract Manager Signature

(Name)

(Title)

Ling Ma, Chief Program Officer (PI)

Ling Ma
Vendor Agency Representative
Signature

HA-HK-DTS INITIAL EXIT CONFERENCE REPORT

Fiscal Year 2019

Name of Vendor: CHINESE AMER. PLAN. Vendor Code: 727

Audit Period: 04/01/2018 — 03/31/2019

The field evaluation visit was conducted on the dates of October 21, 2020 to review programmatic performance in the areas of:

- 1. Initial Medical Examination
 - a) Exam Given 100%
 - b) Drug Abuse Test Given 100%
 - c) Follow-up Atypical Medical Results N/A%
 - d) Follow-up Atypical Drug Results N/A%
- 2. HIPAA Orientation/Training 100%
- 3. Recruitment/Screening
 - a) Job References 100%
 - b) Home Care Registry 100%
 - c) Certification Prior to Hire 100%
- 4. Fingerprinting
 - a) Criminal Background Check 100%
- 5. Emergency Preparedness A (A/I)

An exit conference was held today, Oct. 21-2020 and the following staff were present:

- 1. Ling Ma, Director
- 2. Erik Chen, ADFO
- 3. Karina Lee, DPS
- 4. _____

The findings of the Contract Manager were presented and the agency was afforded an opportunity to respond to the findings. Specific names and dates where non-compliance was identified were provided if requested.

The Field Visit Report will contain specific findings responding to all comments and documentation offered by the agency.

Comments or particular issues acknowledged as still to be resolved are:

Comments by the Contract Manager to provide information are:

It is understood that the findings and recommendations of the Contract Manager are subject to review by supervisory personnel prior to the preparation of the Field Visit Report. Any changes in compliance scores or findings resulting from the review will be communicated to the Program Director together with the factual basis for these changes. If you do not agree with the findings at the Exit Conference, you will have five (5) business days to submit additional information. The five (5) business day period will begin once requested by the Program Director in writing.

The signature below indicates that an exit conference took place in the manner described above.

Jeremy B. Kupupika
Contract Manager Signature

(Name)

(Title)

Ling Ma, Chief Program Officer / PD

Ling Ma
Vendor Agency Representative
Signature